

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

APR 09 2004

Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1

of 17

Complete if Known

Application Number	10/810,916
Filing Date	3/26/2004
First Named Inventor	Wang, Xinqwu
Art Unit	Unknown 2831
Examiner Name	Unknown
Attorney Docket Number	XW-674

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number <small>Number-Kind Code² (if known)</small>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
CO		US- 6,168,604	01/02/2001	Cano	
CO		US- 6,171,295	01/09/2001	Garabedian et al.	
CO		US- 6,181,232	01/30/2001	Kitamura	
CO		US- 6,190,379	02/20/2001	Keuser et al.	
CO		US- 6,190,404	02/20/2001	Palmaz et al.	
CO		US- 6,203,864	03/20/2001	Zhang et al.	
CO		US- 6,208,881	03/27/2001	Champeau	
CO		US- 6,235,024	05/22/2001	Tu	
CO		US- 6,238,408	05/29/2001	Kawabata et al.	
CO		US- 6,245,053	06/12/2001	Benjamin	
CO		US- 6,248,092	06/19/2001	Miraki et al.	
CO		US- 6,257,512	07/10/2001	Schoeck et al.	
CO		US- 6,258,080	07/10/2001	Samson	
CO		US- 6,270,477	08/07/2001	Bagaoisan et al.	
CO		US- 6,283,959	09/04/2001	Lalonde et al.	
CO		US- 6,305,436	10/23/2001	Andersen et al.	
CO		US- 6,309,412	10/30/2001	Lau et al.	
CO		US- 6,310,285	10/30/2001	Sato et al.	
CO		US- 6,312,460	11/06/2001	Drasler et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document <small>Country Code³ Number⁴ Kind Code⁵ (if known)</small>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶

Examiner Signature

Date Considered

9/5/04

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

Complete if Known**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 2 of 17

Application Number	10/810,916
Filing Date	3/24/2004
First Named Inventor	Wang, Xingwu
Art Unit	Unknown 2831
Examiner Name	Unknown

Attorney Docket Number XW-674

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number <small>Number-Kind Code² if known</small>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
CO		US- 5,211,183	05/18/1993	Wilson	
CO		US- 5,178,803	01/12/1993	Tsuchida et al.	
CO		US- 5,161,030	11/03/1992	Song	
CO		US- 5,142,017	08/25/1992	Sugimoto et al.	
CO		US- 5,098,737	03/24/1992	Collins et al.	
CO		US- 5,058,567	10/22/1991	Takihashi et al.	
CO		US- 5,041,083	08/20/1991	Tsuchida et al.	
CO		US- 5,006,402	04/09/1991	Isayev	
CO		US- 5,817,017	10/06/1998	Young et al.	
CO		US- 5,825,488	10/20/1998	Bos et al.	
CO		US- 5,827,997	10/27/1998	Chung et al.	
CO		US- 5,853,394	12/29/1998	Tolkoff et al.	
CO		US- 5,864,088	01/26/1999	Sato et al.	
CO		US- 5,889,091	03/30/1999	Ziolo et al.	
CO		US- 5,903,693	05/11/1999	Brown	
CO		US- 5,913,005	06/15/1999	Terasawa et al.	
CO		US- 5,938,623	08/17/1999	Quiachon et al.	
CO		US- 5,946,439	08/31/1999	Terasawa et al.	
CO		US- 5,964,730	10/12/1999	Williams et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document <small>Country Code³ Number⁴ Kind Code⁵ (if known)</small>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶

Examiner Signature

Date Considered

9/5/04

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

Complete if Known**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 3

of 17

Application Number	10/810,916
Filing Date	3/24/2004
First Named Inventor	Wang, Xingwu
Art Unit	Unknown 2831
Examiner Name	Unknown

Attorney Docket Number XW-674

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
CO		US- 5,964,757	10/12/1999	Ponzi	
CO		US- 5,967,223	10/19/1999	Kagan et al.	
CO		US- 5,968,091	10/19/1999	Pinchuk et al.	
CO		US- 6,001,068	12/14/1999	Uchino et al.	
CO		US- 6,017,338	01/25/2000	Brucker et al.	
CO		US- 6,019,736	02/01/2000	Avellanet et al.	
CO		US- 6,019,737	02/01/2000	Murata	
CO		US- 6,037,016	03/14/2000	Devlin et al.	
CO		US- 6,048,601	04/11/2000	Yahagi et al.	
CO		US- 6,055,714	05/02/2000	Sproul	
CO		US- 6,072,930	06/06/2000	Kornreich et al.	
CO		US- 6,082,760	07/04/2000	Ukai et al.	
CO		US- 6,087,025	07/11/2000	Dearnaley et al.	
CO		US- 6,093,157	07/25/2000	Chandrasekaran	
CO		US- 6,117,064	09/12/2000	Apple et al.	
CO		US- 6,130,220	10/10/2000	Broka et al.	
CO		US- 5,658,470	08/19/1997	Schultz et al.	
CO		US- 5,667,924	09/16/1997	Ziolo	
CO		US- 5,714,102	02/03/1998	Highum et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶

Examiner Signature

Date Considered

9/15/04

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

Complete if Known**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 4

of 17

Application Number	10/810,916
Filing Date	3/24/2004
First Named Inventor	Wang, Xingwu
Art Unit	Unknown 2B31
Examiner Name	Unknown

Attomey Docket Number XW-674

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
CO		US- 5,714,136	02/03/1998	Yahagi et al.	
CO		US- 5,714,536	02/03/1998	Ziolo et al.	
CO		US- 5,731,045	03/24/1998	Dearmaley et al.	
CO		US- 5,797,857	08/25/1998	Obitsu	
CO		US- 5,213,851	05/28/1993	Snyder et al.	
CO		US- 5,260,132	11/09/1993	Nakazumi et al.	
CO		US- 5,315,365	05/24/1994	Hakimi et al.	
CO		US- 5,335,663	08/09/1994	Oakley et al.	
CO		US- 5,398,690	03/21/1995	Batten et al.	
CO		US- 5,437,283	08/01/1995	Ranalletta et al.	
CO		US- 5,443,496	08/22/1995	Schwartz et al.	
CO		US- 5,452,726	08/26/1995	Burmeister et al.	
CO		US- 5,460,187	10/24/1995	Daigle et al.	
CO		US- 5,494,039	02/27/1996	Onik et al.	
CO		US- 5,506,559	04/09/1996	Yamaguchi	
CO		US- 5,540,959	07/30/1996	Wang	
CO		US- 5,543,070	08/06/1996	Ishiyama et al.	
CO		US- 5,581,224	12/03/1996	Yamaguchi	
CO		US- 5,588,443	12/31/1996	Davidson	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶

Examiner Signature

Date Considered

9/5/04

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 601.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

Complete if Known**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 5 of 17

Application Number	10/810,916
Filing Date	3/24/2004
First Named Inventor	Wang, Xingwu
Art Unit	Unknown 2831
Examiner Name	Unknown
Attorney Docket Number	XW-674

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
CO		US- 5,643,670	07/01/1997	Chung	
CO		US- 6,315,794	11/13/2001	Richter	
CO		US- 6,342,068	01/29/2002	Thompson	
CO		US- 6,361,861	03/26/2002	Gao et al.	
CO		US- 6,375,330	04/23/2002	Mihalakis	
CO		US- 6,390,443	05/21/2002	Katayama et al.	
CO		US- 6,438,229	08/20/2002	Overy et al.	
CO		US- 6,445,006	09/03/2002	Brandes et al.	
CO		US- 6,451,207	09/17/2002	Sterman et al.	
CO		US- 6,457,350	10/01/2002	Mitchell	
CO		US- 6,506,972	01/14/2003	Wang	
CO		US- 3,739,770	06/19/1973	Mori	
CO		US- 3,901,741	08/26/1975	Benz et al.	
CO		US- 3,946,727	03/30/1976	Okada et al.	
CO		US- 3,995,623	12/07/1976	Blake et al.	
CO		US- 4,061,824	12/06/1977	Deffeyes et al.	
CO		US- 4,166,263	08/28/1979	Harada et al.	
CO		US- 4,168,481	09/18/1979	Harada et al.	
CO		US- 5,005,585	04/09/1991	Mazza	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				

Examiner Signature

Cath Ahn

Date Considered

9/5/04

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

Complete if Known**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 6 of 17

Application Number	10/810,916
Filing Date	3/24/2004
First Named Inventor	Wang, Xingwu
Art Unit	Unknown 2831
Examiner Name	Unknown
Attorney Docket Number	XW-674

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2//} (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
CO		US- 4,169,998	10/02/1979	Harada et al.	
CO		US- 4,180,600	12/25/1979	Feldstein	
CO		US- 4,241,521	12/30/1980	Dufresne	
CO		US- 4,246,585	01/20/1981	Mailloux	
CO		US- 4,327,711	05/04/1982	Takagi	
CO		US- 4,631,613	12/23/1986	French	
CO		US- 4,641,914	02/10/1987	Sheem	
CO		US- 4,641,917	02/10/1987	Glodis et al.	
CO		US- 4,646,723	03/03/1987	Arakawa	
CO		US- 4,671,292	06/09/1987	Matzuk	
CO		US- 4,705,353	11/10/1987	Wagoner	
CO		US- 4,705,613	11/10/1987	French	
CO		US- 4,738,880	04/19/1988	Asada et al.	
CO		US- 4,741,953	05/03/1988	Katsuta et al.	
CO		US- 4,778,714	10/18/1988	Woolley et al.	
CO		US- 4,868,015	09/19/1989	Shishido	
CO		US- 4,901,741	02/20/1990	Kelsey	
CO		US- 4,935,833	06/19/1990	Shiba et al.	
CO		US- 4,939,610	06/03/1990	Narishige et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ "Number ⁴ " Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶

Examiner Signature

Date Considered

9/5/04

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

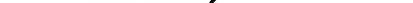
If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>		Application Number	10/810,916
		Filing Date	3/24/2004
		First Named Inventor	Wang, Xingwu
		Art Unit	Unknown 2831
		Examiner Name	Unknown
Sheet	7	of	17
		Attorney Docket Number	XW-674

U. S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	9/5/04
--------------------	---	-----------------	--------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.¹ Applicant's unique citation designation number (optional).² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.